



# Chapter 313 Annual Eligibility Report Form

Economic Development  
and Analysis  
Form 50-772-A

## SECTION 1: Applicant and District Information

- Tax year covered by this report: 2015  
**NOTE:** This report must be completed and submitted to the school district by May 15 of every year using information from the previous tax (calendar) year.
- Application number: 160  
**NOTE:** You can find your application number and all agreement documents and reports on the website [www.texasahead.org/tax\\_programs/chapter313/applicants](http://www.texasahead.org/tax_programs/chapter313/applicants)
- Name of school district: Seguin ISD
- Name of project on original application (or short description of facility): Global Manufacturing Caterpillar Inc.
- Name of applicant on original application: Caterpillar Inc.
- Name the company entering into original agreement with district: Caterpillar Inc.
- Amount of limitation at time of application approval: \$80,000,000
- If you are one of two or more companies originally applying for a limitation, list all other applicants here and describe their relationships. (Use attachments if necessary.)

n/a

## SECTION 2: Current Agreement Information

- Name of current agreement holder(s) Caterpillar Inc.
- Complete mailing address of current agreement holder 100 NE Adams Street, Peoria, IL 61629
- Company contact person for agreement holder:  

<u>See Schedule A , Tim Flaherty</u>	<u>General Manager</u>
Name	Title
<u>830-386-2686</u>	<u>Flaherty Timothy S@cat.com</u>
Phone	Email
- Texas franchise tax ID number of current agreement holder: 1-37-0602744-1
- If the current agreement holder does not report under the franchise tax law, please include name and tax ID of reporting entity:  

<u>n/a</u>	<u>n/a</u>
Name	Tax ID
- If the authorized company representative (same as signatory for this form) is different from the contact person listed above, complete the following:  

<u>same</u>	<u>same</u>
Name	Title
<u>1720 Kingsbury St., Seguin, TX 78155</u>	
Complete Mailing Address	
<u>same</u>	<u>same</u>
Phone	Email
- If you are a current agreement holder who was not an original applicant, please list all other current agreement holders. Please describe the chain of ownership from the original applicant to the new entities. (Use attachments if necessary.)

n/a



Chapter 313 Annual Eligibility Report Form

SECTION 3: Applicant Eligibility Information

- 1. Does the business entity have the right to transact business with respect to Tax Code, Chapter 171?
2. Is the business entity current on all taxes due to the State of Texas?
3. Is the business activity of the project an eligible business activity under Section 313.024(b)?

3a. Please identify business activity:

SECTION 4: Qualified Property Information

- 1. Market value for reporting year: \$ 214,877,964.00
2. I&S taxable value for reporting year: \$ 210,083,055.00
3. M&O taxable value for reporting year: \$ 80,000,000.00

SECTION 5A: Wage and Employment Information for Applications Prior to Jan. 1, 2014 (#1 Through 999)

ONLY COMPLETE THE WAGE SECTION (5A or 5B) THAT APPLIES TO YOUR APPLICATION. You can find your application number on the website at www.texasahead.org/tax\_programs/chapter313/applicants.

NOTE: All statutory references in Section 5A are for statute as it existed prior to Jan. 1, 2014. For job definitions see TAC §9.1051(14) and Tax Code, §313.021(3). If the agreement includes a definition of "new job" other than TAC §9.1051(14)(C), then please provide the definition "new job" as used in the agreement.

- 1. How many new jobs were based on the qualified property in the year covered by this report? 1,252
2. What is the number of new jobs required for a project in this school district according to §313.021(2)(A)(iv)(b), §313.051(b), as appropriate? 1,099
3. Did the applicant request that the governing body waive the minimum job requirement, as provided under Tax Code §313.025(f-1)? Yes No
3a. If yes, how many new jobs must the approved applicant create under the waiver? n/a
4. Calculate 80 percent of new jobs (0.80 x number of new jobs based on the qualified property in the year covered by this report.) 879
5. What is the minimum required annual wage for each qualifying job in the year covered by the report? \$ 38,581.00
6. Identify which of the four Tax Code sections is used to determine the wage standard required by the agreement: §313.021(5)(A) or §313.021(5)(B) or §313.021(3)(E)(ii) or §313.051(b)
6a. Attach calculations and cite exact Texas Workforce Commission data source as defined in TAC §9.1051.
7. Does the agreement require the applicant to provide a specified number of jobs at a specified wage? Yes No
7a. If yes, how many qualifying jobs did the approved applicant commit to create in the year covered by the report? 879
7b. If yes, what annual wage did the approved applicant commit to pay in the year covered by the report? \$ 38,581.00
7c. If yes, how many qualifying jobs were created at the specified wage in the year covered by the report? 1,252
8. How many qualifying jobs (employees of this entity and employees of a contractor with this entity) were based on the qualified property in the year covered by the report? 1,252
8a. Of the qualifying job-holders last year, how many were employees of the approved applicant? 955
8b. Of the qualifying job-holders last year, how many were employees of an entity contracting with the approved applicant? 297
8c. If any qualifying job-holders were employees of an entity contracting with the applicant, does the approved applicant or assignee have documentation from the contractor supporting the conclusion that those jobs are qualifying jobs? Yes No N/A

Chapter 313 Annual Eligibility Report Form



**SECTION 5B: Wage and Employment Information for Applications After Jan. 1, 2014 (#1000 and Above)**

ONLY COMPLETE THE WAGE SECTION (5A or 5B) THAT APPLIES TO YOUR APPLICATION. You can find your application number on the website at [www.texasahead.org/tax\\_programs/chapter313/applicants](http://www.texasahead.org/tax_programs/chapter313/applicants).

NOTE: For job definitions see TAC §9.1051(14) and Tax Code, §313.021(3).

**QUALIFYING JOBS**

1. What is the number of new qualifying jobs the applicant committed to create in the year covered by this report? ..... N/A
2. Did the applicant request that the governing body waive the minimum qualifying job requirement, as provided under Tax Code §313.025(f-1)? .....  Yes  No
- 2a. If yes, how many new qualifying jobs must the approved applicant create under the waiver? .....
3. Which Tax Code section are you using to determine the wage standard required for this project? ....  §313.021(5)(A) or  §313.021(5)(B)
- 3a. Attach calculations and cite exact Texas Workforce Commission data sources as defined in TAC §9.1051.
4. What is the minimum required annual wage for each qualifying job in the year covered by this report? ..... \$ .....
5. What is the annual wage the applicant committed to pay for each of the qualifying jobs in the year covered by this report? ..... \$ .....
6. Do the qualifying jobs meet all minimum requirements set out in Tax Code §313.021(3)? .....  Yes  No

**NON-QUALIFYING JOBS**

7. What is the number of non-qualifying jobs the applicant had on Dec. 31 of the year covered by this report? .....
8. What was the average wage you were paying for non-qualifying jobs on Dec. 31 of the year covered by this report? .. \$ .....
9. What is the county average weekly wage for non-qualifying jobs, as defined in TAC §9.1051? ..... \$ .....

**MISCELLANEOUS**

10. Did the applicant rely on a determination by the Texas Workforce Commission under the provisions §313.024(3)(F) in meeting the minimum qualifying job requirements? .....  Yes  No
- 10a. If yes, attach supporting documentation to evidence that the requirements of §313.021(3)(F) were met.
11. Are you part of a Single Unified Project (SUP) and relying on the provisions in Tax Code §313.024(d-2) to meet the qualifying job requirements? .....  Yes  No
- 11a. If yes, attach supporting documentation from the Texas Economic Development and Tourism Office including a list of the other school district(s) and the qualifying jobs located in each.

**SECTION 6: Qualified Investment During Qualified Time Period**

ENTITIES ARE NOT REQUIRED TO COMPLETE THIS SECTION IF THE YEAR COVERED BY THE REPORT IS AFTER THE QUALIFYING TIME PERIOD OF THEIR AGREEMENT.

1. What is the qualified investment expended by this entity from the beginning of the qualifying time period through the end of the year covered by this report? ..... \$ 321,393,428.00
2. Was any of the land classified as qualified investment? .....  Yes  No
3. Was any of the qualified investment leased under a capitalized lease? .....  Yes  No
4. Was any of the qualified investment leased under an operating lease? .....  Yes  No
5. Was any property not owned by the applicant part of the qualified investment? .....  Yes  No



# Chapter 313 Annual Eligibility Report Form

## SECTION 7: Partial Interest

THE FOLLOWING QUESTIONS MUST BE ANSWERED BY ENTITIES HAVING A PARTIAL INTEREST IN AN AGREEMENT. For limitation agreements where there are multiple company entities that receive a part of the limitation provided by the agreement: 1) each business entity not having a full interest in the agreement should complete a separate form for their proportionate share of required employment and investment information; and, 2) separately, the school district is required to complete an Annual Eligibility Report that provides for each question in this form a sum of the individual answers from reports submitted by each entity so that there is a cumulative Annual Eligibility Report reflecting the entire agreement.

1. What was your limitation amount (or portion of original limitation amount) during the year covered by this report? . . . 80,000,000.00
2. Please describe your interest in the agreement and identify all the documents creating that interest.

Under Exhibit 3 of the limitation agreement (applicant's qualified investment) "all property for which the applicant is seeking a limitation on the appraised value will be owned by the applicant and affiliated group or a valid assignee pursuant to this agreement."

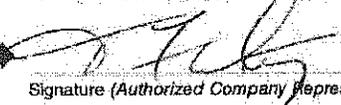
The reported figures on Form 50-772-A and Form 50-773-A include the qualified investment and property of Ranews of Texas, Inc, a valid assignee of Caterpillar Inc.

## SECTION 8: Approval

*"I am the authorized representative for the Company submitting this Annual Eligibility Report. I understand that this Report is a government record as defined in Chapter 37 of the Texas Penal Code. The information I am providing on this Report is true and correct to the best of my knowledge and belief."*

**print here** ▶ Tim Flaherty  
Print Name (Authorized Company Representative)

General Manager  
Title

**sign here** ▶   
Signature (Authorized Company Representative)

3/12/16  
Date

**print here** ▶ Toby A Gualandri  
Print Name of Preparer (Person Who Completed the Form)

830-386-2634  
Phone



## Franchise Tax Account Status

As of: 03/14/2016 03:53:22 PM

**This Page is Not Sufficient for Filings with the Secretary of State**

CATERPILLAR INC.	
Texas Taxpayer Number	13706027441
Mailing Address	100 NE ADAMS ST PEORIA, IL 61629-0002
Right to Transact Business in Texas	ACTIVE
State of Formation	DE
Effective SOS Registration Date	05/07/1986
Texas SOS File Number	0006917606
Registered Agent Name	C T CORPORATION SYSTEM
Registered Office Street Address	1999 BRYAN ST., STE. 900 DALLAS, TX 75201

## **Schedule A**

### **Caterpillar Inc. Contact list:**

#### **Business Resources**

Paul Thompson, Business Resource Manager

Phone: 830-386-2673

Email: [Thompson\\_Paul\\_D2@cat.com](mailto:Thompson_Paul_D2@cat.com)

Address:

1720 W Kingsbury St.

Seguin, TX 78155

#### **Caterpillar Corporate Tax**

Dianna White, Property tax

Phone: 309-675-1166

Email: [White\\_Dianna\\_R@cat.com](mailto:White_Dianna_R@cat.com)

Address:

AB4295

100 NE Adams

Peoria, IL 61629

#### **Tax Consultant**

Mart Armstrong

The Armstrong Company

PO Box 1010

McKinney, TX 75070

Office (800) 510-5022

Office (469) 452-7045

Cell (972) 768-9128

#### **Caterpillar General Manager**

Tim Flaherty

Phone: 830-386-2686

Email: [Flaherty\\_Timothy\\_S@cat.com](mailto:Flaherty_Timothy_S@cat.com)

Address:

1720 W Kingsbury St.

Seguin, TX 78155

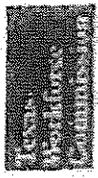


### Quarterly Employment and Wages (QCEW)

#### STANDARD WAGES OVER 1,000 EMPLOYEE PROVISIONS

Year	Period	Area	Ownership	Division	Level	Ind Code	Industry	Avg Weekly Wages
2008	1st Qtr	Guadalupe County	Total All	00	10		Total All Industries	\$667
2008	2nd Qtr	Guadalupe County	Total All	00	10		Total All Industries	\$650
2008	3rd Qtr	Guadalupe County	Total All	00	10		Total All Industries	\$577
2008	4th Qtr	Guadalupe County	Total All	00	10		Total All Industries	\$724

AVG= \$674.50/WK  
 @110% WEEKLY: \$741.95



- LMCI Searchpage
- Data Link
- Wage Information
- The Flare
- Career & Economic Dev Resources
- LMCI Publications
- Resources
- Select Data Type
- All Data Types
- Unemployment (LAUS)
- Employment Estimates (CES)
- Quarterly Employment and Wages (QCEW)
- Wages by Profession
- Projections - Occupation
- Projections - Industry
- Consumer Price Index
- Income
- Staffing Patterns
- Population

New User? Sign up.

Username: \_\_\_\_\_

Password: \_\_\_\_\_

LOGIN